

Section 11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 108.236.119)

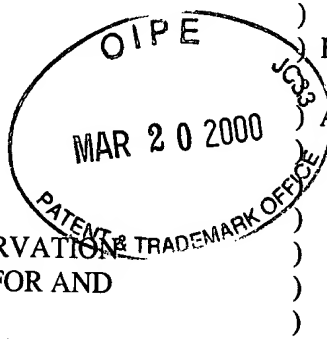
In re Application of:

COLUCCI et al

Serial No. 09/476,485

Filed: December 30, 1999

For: PROGENITOR CELL PRESERVATION  
FACTORS AND METHODS FOR AND  
PRODUCTS OF THEIR USE



Examiner: Not Yet Assigned

Art Unit: 1633

\*\*\*\*\*

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an enveloped addressed to: Attention: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated below.

March 14, 2000

Date

Joyce A. Willette  
Joyce A. Willette

\*\*\*\*\*

**Attention: Box Missing Parts**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith for filing in the above-identified patent application are the following documents:

1. Response to Notice to File Missing Parts, Filing Date Granted;
2. A copy of the Notice to File Missing Parts, Filing Date Granted, mailed February 11, 2000;
3. Two Executed Declaration and Power of Attorney Documents;
4. Certificate of First Class Mailing; and
5. Return Postcard.

U.S.S.N. 09/476,485  
Page Two

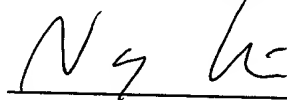
Please charge any additional fees or credit any overpayment to our Deposit Account No. 08-0219.  
If there are any questions, please call the undersigned at the telephone number indicated below.

Dated: March 14, 2000

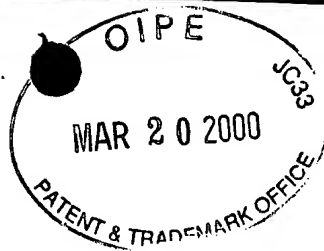
Hale and Dorr LLP  
60 State Street  
Boston, MA 02109  
Tel: (617) 526-6000  
Fax: (617) 526-5000

Respectfully submitted,

HALE AND DORR LLP

A handwritten signature in dark ink, appearing to read 'Nancy Chiu', is written over a horizontal line.

Nancy Chiu  
Reg. No. 43,545  
Agent for Applicant



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No.108.236.119)

In re Application of: COLUCCI et al )  
Serial No. 09/476,485 ) Examiner: Not Yet Assigned  
Filed: December 30, 1999 ) Art Unit: 1633  
For: PROGENITOR CELL PRESERVATION )  
FACTORS AND METHODS FOR AND )  
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March 14, 2000  
Date

Joyce A. Willette  
Joyce A. Willette

\*\*\*\*\*

Attention: **BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

Dear Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed February 11, 2000, Applicants submit the following documents for filing in the above-referenced patent application:

1. A copy of the Notice to File Missing Parts of Application, Filing Date Granted, mailed February 11, 2000;
2. Two Executed Declaration and Power of Attorney Documents; and

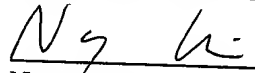
U.S.S.N. 09/476,485  
Page Two

The surcharge fee of \$65.00 set out in 37 C.F.R. §1.16(e) is to be charged to our Deposit Account No. 08-0219. No additional fees are believed to be due. If, however, a credit is owed or an additional fee is due, please charge or credit to our Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

HALE AND DORR LLP



Nancy Chiu

43,545

Agent for Applicants

Dated: March 14, 2000

Hale and Dorr LLP  
60 State Street  
Boston, MA 02109  
Tel: (617) 526-6000  
Fax: (617) 526-5000

MAR 20 2000  
JC33  
PATENT & TRADEMARK OFFICE



#3  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/476,485	12/30/99	COLUCCI	M 108,236,119

NANCY CHIU PH.D.  
HALE AND DORR LLP  
60 STATE STREET  
BOSTON MA 02109

0242/0211

HALE/DORR  
12/30/99

DATE MAILED:

02/11/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00.

- ☐ 1. The statutory basic filing fee is:
  - ☐ missing.
  - ☐ insufficient.Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
  - \$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.
  - \$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.
  - \$ \_\_\_\_\_ for multiple dependent claim surcharge.Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
  - ☒ is missing or unsigned.
  - ☐ does not cover the newly submitted items.An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:  
  
An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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